APPLICATION FOR CANDIDATE FOR CHAIR OF THE PUBLIC UTILITY COMMISSION

Date of application:

GENERAL

- 1. Name:
- 2. Mailing address:

Business address:

Email address:

- 3. Date of birth (required for background check):
- 4. Telephone nos. Home:

Business:

Cell:

EDUCATION

5. List all colleges and graduate-level institutions attended, dates attended, and degrees or credits received, if any:

6. Academic honors at the college or graduate level, if any:

PROFESSIONAL ADMISSIONS

- 7. If you are a practicing attorney, list all courts (including state bar admissions) and administrative bodies having special admission requirements in which you are presently admitted or have previously been admitted to practice, giving the date of admission in each case.
- 8. If you practice any other profession requiring licensure, certification, or other professional regulation by the State, please state the type of each license or certification and the date of receipt in each case.

9. Has your license for any profession in any jurisdiction been suspended, revoked, or limited at any time? If so, please provide the date(s) and circumstances that led to such action.

EMPLOYMENT HISTORY AND PROFESSIONAL EXPERIENCE

10. Please list below, or include an attached resume or curriculum vitae that lists the names and locations of each employing or contracting entity(ies), dates of employment, and title(s) held for any full-time employment since your most recent school graduation.

- 11. Please describe your professional experience in each of the following areas:
 - a. Utility policy or regulation, including work for a regulated company, work for a governmental entity or interest group involved with utility policy or regulation, or participation in any capacity in utility regulatory proceedings

b. Energy or wireless telecommunications infrastructure siting, at a policy or project-specific level

c. Management in the public or private sector, including financial, supervisory, administrative, and other leadership responsibilities

d. Mediation, arbitration, or other dispute resolution

e. Other legal or policy work not identified above

f. Academics, including teaching, presentations, seminars

g. Writing, including articles, journals, books, reports, or other regular writing in your past or current jobs

12. Have you ever appeared before a public-facing committee, such as in the Legislature? If so, please describe in what capacity you did so and, in general terms, the subject(s) you addressed.

- 13. If not otherwise explained above, please describe your knowledge of and experience with the following statutory frameworks:
 - a. the Vermont Rules of Evidence and other courtroom procedures;
 - b. Vermont statutes governing energy, telecommunications, and utility regulation; and
 - c. the Commission's responsibilities as defined by Title 30.

JUDICIAL AND QUASI-JUDICIAL EXPERIENCE

14. Have you ever held judicial office or served as an acting judge or magistrate? If so, please state your position, the name of the court(s), and dates of your service.

15. Have you ever served as an arbitrator, hearing officer, administrative law judge, or other administrative decision maker? If so, please describe the service and the approximate number of assignments you have had.

16. Please state any quasi-judicial boards or commissions on which you have served, including the name(s) of the agency(ies) for which you served, the position(s) held, the issues under your jurisdiction, and the dates of such service.

17. Have you ever conducted administrative rulemaking proceedings or other collaborative public processes involving many stakeholders with diverse viewpoints? If so, please describe.

- 18. Please explain in general any experience you have with the following:
 - a. Preparing a written decision on a contested order
 - b. Issuing an oral decision on a contested matter
 - c. Handling motions or other contested proceedings
 - d. Conducting an evidentiary hearing or proceeding
 - e. Conducting a workshop or other collaborative process involving multiple stakeholders with diverse viewpoints
 - f. Conducting a public hearing

PUBLICATIONS

19. If you have published any books or articles not identified in response to previous questions, please list them, giving titles, citations, and dates.

PROFESSIONAL, CIVIL, AND PUBLIC SERVICE

20. Please list the names of and dates you have served on any administrative, legislative, judicial, or regulatory boards, commissions, study committees, or agencies, or any private, corporate, or non-profit boards.

21. What experience have you had presiding over public meetings of any kind, such as legislative, municipal, non-profit, etc.?

22. If you have served as an appointed or elected official in any local, county, state, or federal government position, please provide details and dates.

23. Please list all professional societies, including Bar associations, of which you are a member, give the titles and dates of any office that you may have held in such groups, and identify committees in which you were active.

24. List any honors, prizes, or awards you have received, including the name of the award, the organization granting it, and the date of the award.

25. Please list all other non-profit, community service, or other such organizations of which you have been a board member during the past 10 years, including the titles and dates of any offices that you have held in each such organization, and/or any other significant volunteer experience.

POTENTIAL CONFLICTS

26. Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service on the Public Utility Commission? If so, please explain.

27. Do you have any personal or professional relationship(s) that might present conflicts of interest in the position of Chair of the Public Utility Commission? If so, please explain.

28. Identify any categories of litigation and financial arrangements that may present potential conflicts of interest if you are appointed Chair of the Public Utility Commission. Include any deferred income arrangements, stock options, uncompleted contracts, and other future benefits that you expect to derive from current or prior professional relationships.

29. Explain how you will resolve any potential conflict of interest, including those identified in questions 22 and 23, above.

MISCELLANEOUS

30. Have you ever been convicted by federal, state, or other law enforcement authorities for a violation of any federal law, state law, or county or municipal law, regulation, or ordinance? If so, please give details. Do not include traffic violations unless it included a jail sentence. Do not include expunged or sealed convictions. *Please be advised that the Judicial Nominating Board conducts a criminal background check on every applicant.*

31. Have you ever had a civil judgment against you? If so, please provide details about the case and its disposition. Please also state whether you have ever defaulted on a judgment and under what circumstances.

32. Have you or your professional liability insurance carrier ever settled a claim against you for professional malpractice? If so, please give particulars, including the amounts paid.

33. Have you ever been disciplined for a breach of ethics or unprofessional conduct by any court, administrative agency, bar association, professional group, or Professional Conduct or Responsibility Board in any jurisdiction? If so, please provide details.

34. Are all your taxes (federal, state, and local) current (i.e., filed and paid) as of the date of this application? If not, are you on an approved payment plan?

35. Has a tax lien or other collection procedure (including receipt of balance due notices) ever been instituted against you by any federal, state, or local tax authority? If so, please explain and describe the outcome.

36. Have you ever been the subject of any audit or investigation for federal, state, or local taxes? If so, give full details.

37. Have you ever declared bankruptcy? If so, give details.

QUASI-JUDICIAL OFFICE QUESTIONS

38. Why do you want to be Chair of the Public Utility Commission?

39. Please describe an experience that has a special significance in shaping you as a professional, as a person, or both, and explain why.

40. Please describe an experience that you believe will influence your ability to serve as a successful Chair of the Public Utility Commission and explain why.

41. Please describe your experiences working with diverse populations.

42. What do you see as the primary issues in utility regulation today? What do you think the Public Utility Commission might do to address or resolve the issues you've identified?

43. The Public Utility Commission is a "quasi-judicial" agency. How do you interpret that word and its relevance to the Commission's interactions with the public?

44. Please describe any administrative, managerial, and supervisory experience, including the size of any staff you supervised and details of your role as their supervisor, such as employee evaluations, training, etc.

45. Please describe your experience creating or contributing to a positive and welcoming workplace environment.

46. Please describe your experience running office-level or department-level meetings, as well as your style in that role.

47. What makes you well qualified to hold the position of Chair of the Public Utility Commission?

48. Please attach a representative writing sample appropriate for the position of Chair of the Public Utility Commission. (Maximum of 10 pages.) In the space below, please explain why you selected this writing sample.

49. List the names, addresses, e-mail addresses, and phone numbers of no more than four references who know you professionally. If you are a practicing lawyer, please include at least one professional adversary. Please describe how each named reference knows you. *Please be advised that Judicial Nominating Board rules permit Board members to contact non-references for additional information about applicants.*

Reference 1

Reference 2

Reference 3

Reference 4

AFFIDAVIT

______, being first duly sworn, deposes and says that all of the information I have provided in this Application is true.

Signature of Candidate

STATE OF VERMONT

COUNTY, SS

At ______, in said County, ______ personally appeared and subscribed and swore to the truth of the above before me this ______ day of ______, 20_____.

Notary Public My commission expires: _____

WAIVER

I hereby waive my right to privacy as it relates to the Judicial Nominating Board for any information I have provided herein, including the right of the Board to freely communicate with any names listed on my reference sheet with the understanding that any information will be held in confidence by the Board. I also understand and agree that if my name is forwarded to the Governor's office it will be accompanied by this full application.

Dated: _____

Signature of Candidate